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Antiviral Claims and OTC Hand Antiseptics

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April 15, 2012



Petition to Include Antiviral Claims on OTC Hand Antiseptics

January 2003

- **Amend the 1994 TFM**
- **Antiviral labeling for hand antiseptics**
 - General antiviral claim for
 - Consumer
 - food handler
 - healthcare personnel hand antiseptic products
- **For products that meet the criteria for antibacterial claims**
- **Dosage forms not specified**



Petition's proposal

- **Antiviral claims allowed on products that:**
 - Can demonstrate a specified reduction in viral titer
 - Against a respiratory and an enteric viral surrogate
 - Using voluntary consensus methods
- **Claims**
 - General claim-no specific viruses in labeling
 - Antiviral statement of identity
 - Decreases viruses that potentially cause disease



Petition's Proposed Testing Requirements

- **Effectiveness criteria**

- 2 log₁₀ reduction in viral titer compared to a standard hard water control

- **ASTM methods**

- ASTM E 1838-96 (finger pad method)
- ASTM E 2011-99 (entire hand method)

- **Surrogate viruses**

- Rotavirus Wa (ATCC) strain VR-2018)
- Rhinovirus Type 37 (ATCC strain VR- 1147) or Rhinovirus Type 14 (ATCC strain VR-284



Justification - Antiviral claims on OTC hand antiseptics

- **Prevalence of viral diseases**
- **Importance of hand transmission in the development of viral disease**
- **Current OTC antiseptics have demonstrated antiviral activity**
 - chlorhexidine gluconate, chloroxylenol, ethanol, isopropyl alcohol, quaternary ammonium compounds, and triclosan
 - clinical outcome studies
 - *in vivo* clinical simulation studies (finger pad and whole hand)
 - *in vitro* tests (suspension and carrier)



Justification - Use of viral surrogates

- **No “sentinel species” of virus to serve as the least susceptible to inactivation**
- **Rotavirus and Rhinovirus**
 - important human respiratory and enteric pathogens
 - shown to survive on skin and environmental surfaces
 - Both are nonenveloped viruses that are resistant to inactivation by surfactants alone and represent a stringent test of antiseptic effectiveness
 - broad laboratory experience with the proposed viruses



Justification - Test Methods and Criteria

● **ASTM methods**

- Provide a reproducible measurement of effectiveness
- Have been conducted using a variety of active ingredients with a variety of different organisms

● **Effectiveness criteria**

- based on \log_{10} reductions for alcohol obtained in clinical simulation studies
- higher than \log_{10} reductions for water or soap and water



FDA Response - Standards of Effectiveness of OTC Drugs

- **21 CFR 330.10(b) defines adequate and well controlled effectiveness studies**
 - capable of distinguishing drug effect from other influences such as a spontaneous change in the course of the disease, placebo effect, or biased observation
 - includes controls that are adequate to provide an assessment of drug effect
 - Adequate measures to minimize bias
 - adequate analysis methods to demonstrate effectiveness



FDA Evaluation – Clinical Effectiveness

- **Data are not sufficient to demonstrate the effectiveness of OTC antiseptics against viruses**
- **Clinical outcomes studies**
 - not adequately controlled to distinguish the effect of antiseptic products from other influences
 - Not adequately designed to minimize bias
 - Inadequate statistical analysis
- **In vivo clinical simulation studies**
 - Soap and water found be as effective or more effective than the antiseptic



FDA Evaluation – In Vitro Effectiveness

- **Not predictive of clinical effectiveness**
- **Many studies did not meet current standards for adequately controlled *in vitro* assays of viral inactivation**
- **Lacked sufficient detail**



FDA Evaluation – Proposed Testing Surrogate Viruses

- **Wide range of viral susceptibility to antiseptics makes extrapolation difficult**
- **Relevance to use requested use settings**
- **Products making general antiviral claims should be able to demonstrate the widest possible spectrum of activity after a brief contact time**
- **Other relevant viruses that may be equally susceptible or more resistant to a number of antiseptics**



FDA Evaluation – Proposed Testing Effectiveness Criteria

- **Data are not sufficient to establish a clinically relevant reduction in viral titer**
- **Proposed 2-log reduction may not be relevant to many viruses**
- **Data from clinical outcome studies are needed to identify a clinically relevant effectiveness criteria**



FDA Evaluation – Proposed Testing ASTM Methods

- **Good starting point**
- **Needs to address variable of product use**
 - Contact time
 - Organic load
- **Not indicative of effectiveness against a broad range of viruses**
 - Suspension testing may address this concern
- **Design concerns**
 - Protocols not adequate to account for the variability of the data
 - Don't provide guidance on powering the study or analysis of data
- **Will need to establish an active control capable of validating study conduct**



FDA evaluation – Proposed Testing ASTM-1838-02 (Finger Pad Method)

- **May be unreliable for enveloped viruses**
- **Sampling will need to be standardized**
- **Does not reflect product actual use conditions**
- **Does not address neutralization of antiseptic**
- **Does not have controls necessary for a viral assay**
 - Cell control
 - Viral susceptibility and infectivity
 - Cytotoxicity
 - Neutralizer validation



FDA Evaluation – Proposed Testing ASTM 2011-09 (Whole Hand Method)

- **Potential for virus wash-off during the pre- and post-treatment tap water rinse**
- **Only a small of the contaminated area is sampled**
- **Volume of recovery medium is too large to allow for detection of virus without a concentration step**
- **High virus stock preparations increase the probability of aggregate formations**
- **Paper towel-drying step makes it difficult to account for the true extent of virus elimination**



FDA Recommendations for Data

- **Adequate and well controlled clinical trials in each of the requested use settings**
- **In vitro studies to define key aspects of virus inactivation**
 - Viral susceptibilities to antiseptic against geographically and temporally distinct isolates
 - Effective concentration or range of concentrations
 - Kinetics of viral inactivation
 - Effect of environmental factors



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Questions?



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Back up slides



Scope of 1994 TFM

- **Hospital antiseptic**
 - Healthcare personnel handwashes
 - Patient preoperative skin preparations
 - Surgical hand scrubs
- **Consumer antiseptic handwashes**
- **Active ingredients**
 - Alcohols
 - Povidone-iodine
- **Labeling**
- **Final formulation testing**



Data required for antibacterial effectiveness 1994 TFM

- **In vitro**

- Spectrum of activity
- Kinetics of activity
- Resistance

- **In vivo**

- Clinical simulation studies mimicking actual use conditions
- Effectiveness criteria
 - Log reduction
 - Not validated
 - Extensive history of use of this standard in the approval of hospital products



1994 TFM – Proposed Labeling of OTC Hand Antiseptics

- **No reduction in infection claims**
- **No listing of specific organisms**
- **Reduction of bacteria on the skin**
- **Directions for use based on the results of final formulation testing**
 - Application times
 - Number of applications